

FBCA Compliance Audit Requirements February 10, 2005

In order to evaluate a compliance audit, the following information is required:

1. Identity of the Auditor;
2. Competence of the Auditor to perform audits;
3. Experience of the Auditor in auditing PKI systems;
4. Relationship of the Auditor to the entity that owns the PKI being audited.
This relationship must clearly demonstrate the independence of the auditor from the entity operating or managing the PKI.

In addition to this background, the auditor should prepare an audit report summary, ***signed by the auditor***, reporting the following after conducting the compliance audit:

1. State that the operations of the PKI were evaluated for conformance to the requirements of the entity PKI CPS;
2. Report the findings of this evaluation.
3. State that the entity PKI's CPS was evaluated for conformance to the entity PKI's CP;
4. Report the findings of this evaluation.

Note: The FBCA does not require and will not consider any statements with respect to the entity PKI's suitability for cross certification with the FBCA or conformance to the FBCA certificate policies. Such a determination is exclusively the purview of the FPKI Policy Authority and its working groups.